

# DPTI Building Prequalification System

## Trade / Subcontractors: Air Conditioning & Mechanical



# Guidelines



Government of South Australia  
Department of Planning,  
Transport and Infrastructure

# DPTI Building Prequalification System

## Trades / Subcontractors: Air Conditioning & Mechanical

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### 1 INTRODUCTION

#### 1.1 Background

The Department of Planning, Transport and Infrastructure (DPTI) Prequalification System for Trades / Subcontractors: Air Conditioning & Mechanical is used for all DPTI projects that include significant air conditioning and mechanical work.

Suitably experienced companies (“Applicants”) are invited to apply for prequalification with DPTI in accordance with these Guidelines.

The purpose of the prequalification scheme is to:

- achieve consistency, fairness and transparency in DPTI’s tendering and selection processes;
- minimise the work being undertaken by companies who do not have sufficient capability and capacity;
- reduce the cost of tendering and tender assessment for both industry and Government; and
- encourage high standards and continuous improvement that will contribute to a sustainable building and construction industry in South Australia.

The system applies regardless of whether the company undertaking the work is contracting directly with DPTI or working as a sub-contractor.

#### 1.2 Prequalification levels

The following categories, which relate to the complexity, risk and value of the work are available:

ACM1: Air conditioning and mechanical Simple	Straightforward, low risk, small scale
ACM2: Air conditioning and mechanical Medium :	Medium scale work of moderate risk & complexity
ACM3: Air conditioning and mechanical Complex	Large scale work, high risk & complexity

A more comprehensive description of each category is included in Appendix A.

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To become prequalified, a company must demonstrate that they have the experience, resources and management systems to successfully undertake the work for projects in the category that they have applied for. Prequalification in a higher category automatically prequalifies that company in the lower categories.

A company's financial capacity is not considered in the prequalification system. However, DPTI reserves the right to undertake an assessment of financial capacity prior to the award of a contract.

### 1.3 Conditional Prequalification

Where an Applicant does not meet every specified eligibility criteria, the Applicant may be granted "Conditional" Prequalification. For example, where a newly formed company, which has suitably experienced personnel and satisfies the requirements for systems and other resources, is unable to satisfy all of the past experience criteria, but DPTI considers that the company is competent to undertake the work.

The granting of Conditional Prequalification is at the absolute discretion of DPTI. Providing the conditionally prequalified contractor continues to comply with the nominated conditions of their prequalification, they will be eligible to tender for contracts in the categories and financial level they are conditionally prequalified in.

### 1.4 Tendering

DPTI may stipulate the use of a prequalified sub-contractor when calling tenders for a general building contract. If DPTI intends to contract directly with companies who are prequalified under this trade / subcontractor system, DPTI will either:

- issue a restricted tender call to selected prequalified companies; or
- publish tenders on [www.tenders.sa.gov.au](http://www.tenders.sa.gov.au) as an open call to all prequalified companies at the appropriate level.

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### 2 APPLICATION AND ASSESSMENT PROCESS

#### 2.1 Applications

Companies are invited to apply for prequalification by completing and submitting the application form, which may be downloaded from:

[http://www.dpti.sa.gov.au/contractor\\_documents/prequalification](http://www.dpti.sa.gov.au/contractor_documents/prequalification).

The application must be submitted in accordance with the instructions on the front of the Application Form. Do not submit a hard copy.

Enquiries may be directed to [DPTI.Prequal@sa.gov.au](mailto:DPTI.Prequal@sa.gov.au) or the phone number on the above internet site.

#### 2.2 Assessment Criteria

Listed below is an overview of the assessment criteria that will be used to assess Applicants. Full details of the information to be submitted and the minimum criteria for each level are included in the Application Form.

##### Criteria 1: Company experience

Applicants are required to demonstrate satisfactory performance on past and current relevant projects. Details of the nominated projects must be provided, along with Performance Reports and Referee details.

##### Criteria 2: Personnel

Applicants must have procedures and policies for staff competency assessment, training & development and evidence of implementation.

##### Criteria 3: Management Systems

The Applicant must have management systems which are appropriate for the category applied for which cover:

- safety; and
- quality.

#### 2.3 Assessment of Applications

DPTI may take into account information from any of the following sources:

- Information submitted with the application;
- documented evidence held by DPTI or other government agencies regarding the Applicant's previous performance;
- information that was submitted in a previous prequalification application (where appropriate);
- any other valid information relevant to the Application, notwithstanding that the information has not been submitted by the Applicant.

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Performance reports will be a key consideration for determining whether an Applicant meets the minimum requirements for company experience. Where the Applicant submits a Performance Report from a DPTI project, it must be on the DPTI Performance Report proforma. If the project is a non-DPTI project, the performance report must substantially cover the same elements as the DPTI Performance Report.

DPTI is not obliged to accept a non-DPTI Performance Report if DPTI forms the reasonable opinion that it is biased, inadequate or not representative of the Applicant's actual performance on that project.

The referee must have a technical role in and knowledge of the contract and, in consultation with other team members, must be capable of making impartial technical assessments of the contractor's performance. The referee must be independent of the company.

Where a Performance Report indicates that the company's performance is marginal or unsatisfactory, the Applicant must demonstrate that it has successfully implemented corrective action to prevent a re-occurrence of the issue.

DPTI may use internal and / or external assessors when considering an application.

### **2.4 Notification**

Applicants will be notified in writing regarding which, if any, prequalification category it has achieved within 6 weeks of lodgement of their application. However, if the information provided is not sufficient to complete the assessment, DPTI will advise the applicant accordingly within this 6 week period, which may also be extended.

Applicants that are not satisfied with the outcome of the assessment may lodge a request for a review or appeal, as detailed in Section 4 of these Guidelines.

### **2.5 Upgrading Prequalification Status**

A prequalified contractor may apply for an upgrade of its prequalification status after having successfully completed several projects at the current level.

To be eligible for an upgrade, a Contractor must be able to demonstrate that its circumstances have changed sufficiently since its last prequalification application was assessed. As such, it may not be necessary to complete the entire application form and Applicants should confirm the requirements for the application with DPTI before submitting an upgrade application. Temporary upgrades for specific projects will not be issued.

### **2.6 Maintenance of Prequalification**

Prequalification is for a notional 3 year period. However, if a company is subject to adverse performance reports, undergoes a restructure or its competency is materially reduced, DPTI may request a new application. At any time, DPTI may seek confirmation that the information submitted with an application remains relevant or request an update of the information.

DPTI will monitor and assess the ongoing performance of each prequalified company. A company's ongoing prequalification is subject to it maintaining a satisfactory level of performance in its delivery of contracts. A failure to comply with the requirements for maintenance of prequalification may result in the prequalification being withdrawn or the level downgraded in accordance with the DPTI Building Conditions of Prequalification (refer Section 3 of these Guidelines).

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### 3 TERMS AND CONDITIONS OF PREQUALIFICATION

Applicants and prequalified contractors are required to comply with the Building Conditions of Prequalification, available from:

[http://www.dpti.sa.gov.au/contractor\\_documents/prequalification](http://www.dpti.sa.gov.au/contractor_documents/prequalification)

Details of the review and appeal process are also included in the above document.

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### APPENDIX A –CHARACTERISTICS AND EXAMPLES OF PROJECTS IN EACH LEVEL

The following characteristics are indicative for each level. However, it is not expected that any one project will include all of the following characteristics. The DPTI Project Team will assess each project to determine the appropriate level, taking into account the project's risk, complexity and scale.

#### 1) Air Conditioning & Mechanical

##### ACM1: Simple

- Small scale and low technical risk / complexity.
- Cost of air conditioning & mechanical component usually less than \$1,000,000.
- Typical examples of this level include:
  - The installation of non-complex air conditioning systems, such as ducted and no ducted equipment, stand alone or larger Variable Refrigerant Volume (VRV) systems two and three pipe refrigerant pipe work.
  - Heating via space or convections systems
  - Basic or proprietary control devices (but generally not involving Building Management Systems or BMS).
  - Ventilation in the form of supply or exhaust fans and associated ducting, or smaller type Heat Recovery Ventilation (HRV's) such as schools and the like.

##### ACM2: Medium

- Moderate scale and /or medium technical risk / complexity.
- Cost of air conditioning & mechanical component typically between \$1,000,000. and \$3,000,000.
- Typical examples of this level include:
  - Four pipe systems, this would involve both chilled water and heating hot water, and typically involve some level of BMS (Building Management Systems) to control the flow control valves via data received from a zone thermostat, involve the staging and general operation of the chillers and boilers.
  - More complex systems such as Process cooling typically seen in computer room or Data / server rooms and generally involve both temperature control and humidification and de-humidification capability.
  - Smaller hospital projects including specialised operating theatres involving higher quantities of out-door air for improved ventilation for dilution of gases and the like, also involving zone control from pressurization via positive pressurization or infection control requiring negative pressurisation, specialised filtration including HEPA filtration (High Efficiency Particulate Apprentice) in duct or ceiling mounted supply air HEPA terminals.



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### AMC3 Complex

- Large scale and /or high technical risk / complexity.
- Cost of air conditioning & mechanical component usually in excess of \$3,000,000.
- Typical examples of this level include:
  - More complex and bespoke process applications such as pharmaceutical applications, requiring very stable temperature and humidity control. And very high levels of filtration and air quality
  - Larger hospitals similar levels of complicity as AMC2, but with larger BMS involvement and higher level of cost due to the size of the project. nRah for example. Involving all of the elements listed in AMC2, but with a higher level of complexity and risk due to the nature of the facility and building envelope determining the overall project value from the large m2 of conditioned space.